

UNISON METALS LTD.

Regd. Office Works : Plot No. 5015, Ph. IV, Ramol Char Rasta, G.I.D.C. Vatva, Ahmedabad-382 445.

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E-mail : unisonmetals@gmail.com Website : www.unisongroup.net, CIN No. L52100GJ1990PLC013964

Date :

20.05.2022

To,
Dept. of Corporate Services
BSE Limited
P. J. Tower,
Dalal Street, Fort.
Mumbai-400001

Dear Sir/Madam,

BSE Scrip Code: 538610

Sub: Annual Secretarial Compliance Report (24A) for year ended 31 March 2022

With reference to the above captioned subject, we hereby submit the Annual Secretarial Compliance Report for year ended on 31-03-2022.

Please take note of the same on your record.

Thanking you,
Yours faithfully,

UNISON METALS LIMITED



Mitali R. Patel

MITALIBEN R. PATEL
COMPANY SECRETARY AND COMPLIANCE OFFICER



Secretarial compliance report of Unison Metals Limited for the year ended 31st March, 2022

I Gaurang R Shah (Company Secretary) have examined:

- all the documents and records made available to us and explanation provided by **UNISON METALS LIMITED** ("the listed entity"),
- the filings/ submissions made by the listed entity to the stock exchanges,
- website of the listed entity,
- any other document/ filing, as may be relevant, which has been relied upon to make this certification,

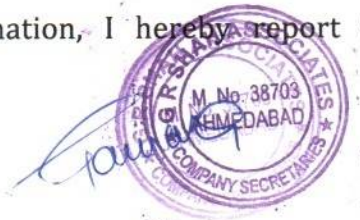
For the year ended **31st March, 2022** ("Review Period") in respect of compliance with the provisions of:

- the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; **(Not applicable during the audit period)**
- Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; **(Not applicable during the audit period)**
- Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; **(Not applicable during the audit period)**
- Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; **(Not applicable during the audit period)**
- Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- circulars / guidelines issued thereunder;

and based on the above examination, I hereby report that, during the Review Period:





G R SHAH & ASSOCIATES

(a)The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:-

Sr. No	Compliance Requirement (Regulations/ circulars / guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
1.	Regulation 18 (1) (A) of SEBI (LODR) Regulations, 2015	Composition of the Audit Committee was not in compliance with the provisions of this Regulation for the period beginning from 05 th January 2022 since the company did not have three Directors as per this regulation	The Company has failed to comply with the Regulation 18 (1) (A) of SEBI (LODR) Regulations, 2015
2.	Regulation 19 (1) (A) and (B) of SEBI (LODR) Regulations, 2015	Composition of the Nomination and remuneration Committee was not in compliance with the provisions of this Regulation for the period beginning from 05 th January 2022 since the company did not have three non-executive Directors as per this regulation	The Company has failed to comply with the Regulation 19 (1) (A) and (B) of SEBI (LODR) Regulations, 2015

(b)The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder in so far as it appears from my/our examination of those records.

(c)The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars)under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:





G R SHAH & ASSOCIATES

Sr. No	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ Remarks of the Practicing Company Secretary, if any
1	BSE	BSE issued a notice dated 09 th May, 2022 with regards to violation of: 1. Regulation 18 (1) (A) of SEBI (LODR) Regulations, 2015 2. Regulation 19 (1) (A) and (B) of SEBI (LODR) Regulations, 2015	Company have responded to the notice and the matter is pending with the authority	Suitably replied from the company the matter is pending with the authority

(d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended...(The years are to be mentioned)	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
NIL				

FOR, GR SHAH & ASSOCIATES
Company Secretaries

Place: Ahmedabad
Date: 20/05/2022

Gaurang Shah
Proprietor
C.O.P. No. 14446
ACS No. 38703
UDIN: L52100GJ1990PLC013964

